

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT  
PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934  
Date of Report (Date of Earliest Event Reported): June 16, 2023**



**IES Holdings, Inc.**

**Delaware**  
(State or other jurisdiction  
of incorporation)

**001-13783**  
(Commission  
file number)

**76-0542208**  
(I.R.S. Employer  
Identification No.)

**2 Riverway, Suite 1730, Houston, Texas 77056**  
(Address of principal executive offices and zip code)

**Registrant's telephone number, including area code: (713) 860-1500**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instructions A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2 (b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4 (c))

Securities registered pursuant to Section 12(b) of the Act:

| <u>Title of each class</u>               | <u>Trading<br/>Symbol</u> | <u>Name of each exchange on which registered</u> |
|--|---------------------------|--|
| Common Stock, par value \$0.01 per share | IESC                      | NASDAQ Global Market                             |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

Effective June 16, 2023, Alison M. Petersen was appointed as Interim Senior Vice President of Finance of IES Residential, Inc. (“IES Residential”), a wholly-owned subsidiary of IES Holdings, Inc. (the “Company”), and, in connection with her appointment, stepped-down as Vice President, Chief Accounting Officer and principal accounting officer of the Company.

Effective June 16, 2023, Tracy A. McLauchlin was appointed as principal accounting officer of the Company. Ms. McLauchlin, 53, a Certified Public Accountant who previously served as Chief Accounting Officer from February 2014 through May 2015, and principal accounting officer of the Company from February 2014 to April 2021, will also continue to serve as Senior Vice President, Chief Financial Officer, Treasurer and principal financial officer of the Company, roles in which she has served since May 2015.

There are no arrangements or understandings between Ms. McLauchlin and any other persons pursuant to which Ms. McLauchlin was appointed as an officer of the Company, and there is no family relationship between Ms. McLauchlin and any of the Company’s directors or other executive officers. There are no transactions in which Ms. McLauchlin has an interest requiring disclosure under Item 404(a) of Regulation S-K.

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**IES HOLDINGS, INC.**

*Date:* June 16, 2023

*By:* /s/ Mary K. Newman

Name: Mary K. Newman

Title: General Counsel and Corporate Secretary